

**Appendix A: Scope of Permission**

Circle Insurance Services Plc  
2 Chester Street  
Coventry  
West Midlands  
UNITED KINGDOM  
CV14DJ

(307381)

**Permissions:****Regulated activity - Advising on investments (except Pension Transfers and Pension Opt Outs)**

*Investment Type*  
Non Investment Insurance Contracts

*Customer Type*  
All

*Authorised from*  
14-JAN-2005

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**Regulated activity - Arranging (bringing about) deals in investments**

*Investment Type*  
Non Investment Insurance Contracts

*Customer Type*  
All

*Authorised from*  
14-JAN-2005

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**Regulated activity - Making arrangements with a view to transactions in investments**

*Investment Type*  
Non Investment Insurance Contracts

*Customer Type*  
All

*Authorised from*  
14-JAN-2005

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**Regulated activity - Dealing in investments as agent**

*Investment Type*  
Non Investment Insurance Contracts

*Customer Type*  
All

*Authorised from*  
14-JAN-2005

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**Regulated activity - Assisting in the administration and performance of a contract of insurance**

*Investment Type*  
Non Investment Insurance Contracts

*Customer Type*

All

*Authorised from*  
14-JAN-2005

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**Regulated activity - Agree to carry on a regulated activity**

*Investment Type*  
Regulated Activity

*Limitations*

The firm can agree to carry on only the regulated activities specified in this permission notice

*Customer Type*  
All

*Authorised from*  
14-JAN-2005

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**Requirements**

none

**Appendix B: Approved Persons****Circle Insurance Services Plc****A4000684**

<b>Name</b>	<b>Reference</b>	<b>NI Number</b>	<b>Date of Birth</b>
<b>Mr Anthony John Norcott</b> <i>Director Function</i> <i>Chief Executive Function</i> <i>Apportionment and Oversight Function</i>	AJN00023	-	11 May 1944
<b>Mr Christopher Philip Long (Chris Long)</b> <i>Risk assesment function</i> <i>Internal audit function</i>	CPL01029	YM106025C	17 March 1949
<b>Mr David Reeves (Chris Long)</b> <i>Director Function</i>	DXR00125	YM106025C	01 December 1941
<b>Mr Ian Lawrence Smith</b> <i>Internal audit function</i>	IXS01249	NW752901D	22 April 1971
<b>Mr James Shields (Jim Shields)</b> <i>Director Function</i>	JXS01983	YZ858401A	22 January 1958

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